

U.S. v. Warshak et al. Conviction Summary

Defendant (Age)	Role	Conviction
STEVEN E. WARSHAK (42)	Defendant STEVEN E. WARSHAK is the owner, president and controlling principle of Berkeley and its subsidiaries. During the time period charged in this Indictment, he made all significant operating decisions and was actively involved in the daily operations of Berkeley, its subsidiaries, and TCI Media, Inc.	18 U.S.C. § 1349 , Conspiracy to Commit Mail, Wire, and Bank Fraud (1 Count)
		18 U.S.C. § 1341 , Mail Fraud (12 counts)
		18 U.S.C. § 1344 , Bank Fraud (3 Counts)
		18 U.S.C. §§ 1029(a)(5), 1029(b)(1) and (2) , Conspiracy to Commit and Attempt to Commit Access Device Fraud, (1 Count)
		18 U.S.C. § 1956(h) , Money Laundering Conspiracy (2 Counts)
		18 U.S.C. § 1956 , Money Laundering (73 Counts)
		18 U.S.C. § 371 , Conspiracy to Obstruct Proceedings Before FTC (1 Count)
HARRIET WARSHAK (75)	Defendant HARRIET WARSHAK, the mother of defendant Steven E. Warshak, has served in various roles including Director of Data Entry and Director of the Credit Department. She has also worked in the warehouse and held various other duties at Berkeley.	18 U.S.C. § 1349 , Conspiracy to Commit Mail, Wire, and Bank Fraud (1 Count);
		18 U.S.C. § 1344 , Bank Fraud (1 Count)
		18 U.S.C. § 1956(h) , Money Laundering Conspiracy (2 Counts)
		18 U.S.C. § 1956 , Money Laundering (4 Counts)
PAUL J. KELLOGG (41)	Defendant PAUL J. KELLOGG is the in-house general counsel and business advisor for defendants Berkeley and Steven E. Warshak.	18 U.S.C. § 1956(h) , Money Laundering Conspiracy (2 Counts)
		18 U.S.C. § 1956 , Money Laundering (2 Counts)
		18 U.S.C. § 371 , Conspiracy to Obstruct Proceedings Before FDA (1 Count)
		18 U.S.C. § 371 , Conspiracy to Obstruct Proceedings Before FTC (1 Count)
STEVEN P. PUGH (38)	Defendant STEVEN P. PUGH worked at Berkeley primarily in the warehouse as a manager.	18 U.S.C. § 371 , Conspiracy to Obstruct Proceedings Before FDA (1 Count)
BERKELEY PREMIUM NUTRACEUTICALS, INC.	Defendant BERKELEY PREMIUM NUTRACEUTICALS, INC. is wholly-owned by defendant Steven E. Warshak, with its principal place of business located in the Cincinnati, Ohio area, within the Southern District of Ohio.	18 U.S.C. § 1349 , Conspiracy to Commit Mail, Wire, and Bank Fraud (1 Count)
		18 U.S.C. § 1341 , Mail Fraud (12 counts)
		18 U.S.C. §§ 1029(a)(5), 1029(b)(1) and (2) , Conspiracy to Commit and Attempt to Commit
		21 U.S.C. §§ 331(k), 333(a)(2) , Misbranding (1 Count)
TCI MEDIA, INC	Defendant TCI MEDIA, INC. is a separate legal entity from Berkeley, it is also wholly-owned by defendant Steven E. Warshak.	18 U.S.C. § 1956 , Money Laundering (21 Counts)